



# ENABLING D2D TRANSMISSION MODE OF RECONFIGURABLE INTELLIGENT SURFACES AIDED IN WIRELESS NOMA SYSTEM

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**Abstract.** Reconfigurable Intelligent Surfaces (RISs) are emerging as a promising alternative for future wireless networks. This study investigates device-to-device (D2D) communication systems using non-orthogonal multiple access (NOMA) and RISs between user devices and base stations to enhance the performance of the proposed system. The closed-form and approximate-form expressions of outage probability (OP) were demonstrated with the impact of imperfect successful interference cancelation (ipSIC) to give more key insight into our work. Finally, the Monte Carlo simulation is adopted to clarify the accuracy of the analysis of mathematical expressions.

## Keywords

*imperfect SIC (ipSIC), non-orthogonal multiple access (NOMA), outage probability (OP), reconfigurable intelligent surface (RIS).*

## 1. Introduction

Reconfigurable Intelligent Surfaces (RISs) is a programmable surface structure that can control electromagnetic wave reflection. RISs can steer signals to the receiver resulting in better reception or link quality. Furthermore, the wireless channel usually distorts transmitted signals in wireless communications. RIS enables the manipulation of surfaces within radio channels to steer signals in targeted directions, thereby enhancing the reliability and energy efficiency of wireless systems. By precisely controlling how waves interact with their environment, RIS can focus signals toward specific areas, reducing interference and optimizing power usage, which ultimately leads to more stable and energy-conscious network performance. The fading channel always impacts the transmission of the signal in wireless networks, the study explores IRS-assisted NOMA networks for both downlink and uplink scenarios, where an IRS is strategically deployed to enhance network coverage. Specifically, the IRS aids a user device located at the edge of the cell, enabling more reliable communication with the base station, which is particularly beneficial in areas where signal strength is typically weak [1]. Energy issues are an im-

portant consideration in wireless relay networks. Numerous attempts have aimed to improve the efficiency of energy use at the relay nodes. The authors in [2–6] have explored the simultaneous use of radio frequency (RF) signals for both energy harvesting and data transmission. This dual-purpose approach is emerging as an innovative solution for promoting green energy in wireless communication systems. In [7, 8], the self-energy recycling (S-ER) protocol has been introduced for full-duplex multi-relay networks, where it involves capturing and storing energy derived from self-interference at the relay stations. This method leverages the energy from interference generated during simultaneous transmission and reception to enhance the overall energy efficiency of the network. Besides, to improve the transmission efficiency of wireless networks. In which security is considered as a cooperative transmission protocol for system application. Physical layer security has been considered and proposed the system models by the authors [9–13]. In the context of IoT networks, the authors in [14] has explored the security and reliability of power splitting-based relaying with the aid of a jammer, highlighting the performance superiority of dynamic PS relaying (DPSR) over static PS relaying (SPSR) in terms of outage and intercept probabilities under various system parameters. The authors in [15] provide a detailed performance analysis of a time-switching energy harvesting strategy for half-duplex bidirectional wireless sensor networks over Rician fading channels, offering exact expressions for outage probability, throughput, and symbol-error-rate under hardware impairments. Recent work explores energy harvesting-based two-way half-duplex relaying sensor networks, deriving exact and upper bound ergodic capacity and symbol error ratio over Rayleigh fading channels, with validation through Monte Carlo simulations [16, 17]. The authors in [18] investigated the performance of a device-to-device (D2D) communication network, where a source node transmits data using harvested energy from a power beacon to overcome energy constraints, while both the destination and an eavesdropper are subject to co-channel interference from other sources using the same frequency.

In cooperative communication, two primary relaying strategies are commonly employed: Decode-and-Forward (DF) and Amplify-and-Forward (AF). In the DF approach, the relay node decodes the received signal before re-encoding and forwarding it to the destination, which can mitigate noise but may introduce decoding errors [19–22]. On the other hand, AF relays simply amplify the received signal, preserving the signal's original noise characteristics, which leads to a more straightforward implementation but may result in noise amplification [23]. Both techniques offer significant improvements in communication reliability and

capacity, particularly in environments with fading and interference.

The evolution of mobile communications continues at a rapid pace, with emerging demands and complexities associated with the 6th generation (6G) and future advancements. As technology progresses, the field is faced with new and intricate requirements that must be addressed to meet the expectations of next-generation communication systems. Reconfigurable intelligent surfaces (RIS) can help extend wireless coverage, RIS empowers network operators to manage the scattering, reflection, and refraction properties of radio waves. This capability allows for the mitigation of adverse effects commonly associated with natural wireless propagation, thereby enhancing overall network performance and reliability [24, 25]. The study explores IRS-assisted networks, evaluating the effects of both imperfect successive interference cancellation (ipSIC) and perfect successive interference cancellation (pSIC) techniques. This investigation, detailed in the referenced work, examines how these two approaches impact network performance under varying conditions [26].

Non-orthogonal multiple access (NOMA) networks and power transfer schemes combine to support the users used. In [27], NOMA (Non-Orthogonal Multiple Access) and full-duplex techniques are leveraged to significantly enhance spectral efficiency. By integrating these two approaches, the system maximizes data transmission capabilities and optimizes the use of available frequency resources. The study explores the use of Device-to-Device (D2D) communications for relaying transmissions to improve communication reliability. As detailed in [28, 29], the authors demonstrated a scenario where D2D communication is facilitated by an Energy Harvesting (EH) assisted relay. In this setup, signals are transmitted from a Base Station (BS) to both conventional cellular users and D2D users, leveraging EH technology to enhance the overall system performance. The study examines the application of non-orthogonal multiple access (NOMA) in traditional relaying networks, highlighting its role in managing large-scale connections. Integrating NOMA with Reconfigurable Intelligent Surfaces (RIS) is proposed as a groundbreaking approach to achieving energy-efficient and intelligent wireless communication. RIS, characterized by its two-dimensional structure and numerous passive elements, enhances network performance by optimizing signal propagation and energy efficiency [30, 31]. To assess the realistic end-to-end performance of the system in question, the studies in [32–34] modeled both transmission hops such as experiencing independent, though not necessarily identically distributed, extended Generalized-K fading. Our new analytical approach presents a formula derived using the bivariate H-Fox function, which provides a refined evaluation

of the system's performance under different fading conditions. An efficient algorithm H-Fox function is also proposed to evaluate the average bit error probability. Besides, the simultaneously transmitting and reflecting reconfigurable intelligent surface (STAR-RIS) is emerging as a promising technology for the sixth generation (6G) wireless communication landscape. STAR-RIS enhances the ability to achieve extremely low power transmission while providing seamless coverage by enabling surfaces to both transmit and reflect signals concurrently. This dual capability positions STAR-RIS as a key enabler for future wireless networks, addressing the need for efficient energy use and uninterrupted service coverage [35–38].

Different above discussions, our main contributions can be listed as followings:

- We analyze the outage performance (OP) of NOMA systems assisted by RIS in D2D networks.
- The OP's analytical expressions are derived for a network with two NOMA users positioned on opposite sides of the STAR-RIS.
- Determine and evaluate whether increasing the number of RIS elements enhances the system's performance. Finally, the correctness of mathematical frameworks are conducted by Monte Carlo simulation.

There are various portions to the paper. Section 2 outlines the system and channel model employed in the study. The mathematical expressions for the Outage Probability (OP) of two users is examined in section 3. Section 4 contains the system's closed-form OP approximations. Section 5 describe the Monte Carlo method to validate all derivations. Finally, Section 6 provides the conclusion of the paper.

## 2. System and Channel Model

### 2.1. System Model

As shown in Fig. 1, we introduce the system model for a RIS-aided relaying system that utilizes decode and forward (DF) technique, where the first hop is a radio frequency (RF)-RIS and the second hop is a RIS-RF transmissions, respectively. We assumed that the base station (*BS*) and nearby user (*D*<sub>1</sub>) are unlikely to communicate directly because of obstacles, the *BS* connects to the *D*<sub>1</sub> via a RIS (RIS-1) located on a structure. Communication between near user *D*<sub>1</sub> and the far user (*D*<sub>2</sub>) is also established via another RIS (RIS-2). RIS acts as an intermediary medium between *BS* and *D*<sub>1</sub> to ensure a direct line of sight path between the two nodes. It is assumed that all nodes possess perfect

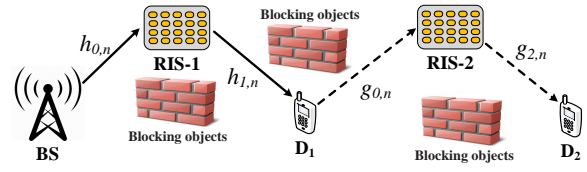


Fig. 1: Illustration of RIS-aided NOMA network model.

channel state information (CSI) and are equipped with a single antenna [1]. We have  $N_1$  and  $N_2$  reflecting elements in RIS-1 and RIS-2, respectively. For simplicity, we assume that the two RIS devices are identical, i.e.  $N = N_1 = N_2$ . The Rayleigh fading distribution is pursued by surface RF networks employing *BS*-RIS-1-*D*<sub>1</sub> and *D*<sub>1</sub>-RIS-2-*D*<sub>2</sub> connections.

During the first time slot, *BS* will transmit the superimposed signal to *D*<sub>1</sub> with the help of a RIS-1, where  $x_i$  and  $a_i$  are the signal and power allocation coefficients of user  $i$ , respectively. It should be noticed that  $a_1 + a_2 = 1$ . Following the NOMA principle, we assume that  $a_2 > a_1$  if *D*<sub>2</sub>'s QoS needs are greater than *D*<sub>1</sub>. As a result, the baseband signal received at *D*<sub>1</sub> reflected by RIS-1 is provided by

$$\bar{y}_{D_1} = \left( \sum_{n=1}^{N_1} h_{0,n} h_{1,n} \varepsilon_n^h \right) \left( \sqrt{a_1 P_{BS}} x_1 + \sqrt{a_2 P_{BS}} x_2 \right) + \bar{\omega}_{D_1}, \quad (1)$$

where  $P_{BS}$  denotes the transmit power of *BS*,  $\varepsilon_n^h = \varpi_n^h(\phi_n^h) e^{j\vartheta_n^h}$  is the RIS-1 reflection coefficient produced by the  $n$ th reflector;  $\varpi_n^h(\phi_n^h) = 1$  is the ideal phase shifts ( $n = 1, 2, \dots, N$ );  $x_1$  and  $x_2$  denote the *D*<sub>1</sub> and *D*<sub>2</sub> transmitted signals, respectively;  $\bar{\omega}_{D_1}$  denotes the additive white Gaussian noise (AWGN) at the *BS* with variance  $N_0$  and  $\phi_n^h \in [0, 2\pi)$  is the RIS-1 phase-shift variable of the  $n$ th element  $\forall n \in N_1$ . Moreover, we have  $h_{0,n} = \bar{h}_{0,n} e^{j\vartheta_n^h} / \sqrt{d_{h_0}^\alpha}$  and  $h_{1,n} = \bar{h}_{1,n} e^{j\theta_n^h} / \sqrt{d_{h_1}^\alpha}$  in which  $\vartheta_n^h$  and  $\theta_n^h$  are the channel phases,  $d_{h_0}$  and  $d_{h_1}$  denote the distances from the *BS* to RIS-1 and RIS-1 to *D*<sub>1</sub>, respectively,  $\alpha$  is the path-loss exponent. Following [24], we assume that RIS-1 accurately well-knows the values  $\vartheta_n^h$  and  $\theta_n^h$ .

*D*<sub>1</sub> first decodes  $x_2$  by treating the signal from  $x_1$  as interference, and the corresponding signal-to-interference-plus noise ratio (SINR) is written as follows:

$$\bar{\gamma}_{D_1, x_2} = \frac{a_2 P_{BS} d_{h_0}^{-\alpha} d_{h_1}^{-\alpha} \left| \sum_{n=1}^{N_1} e^{j(\phi_n^h - \vartheta_n^h - \theta_n^h)} \bar{h}_{1,n} \bar{h}_{0,n} \right|^2}{a_1 P_{BS} d_{h_0}^{-\alpha} d_{h_1}^{-\alpha} \left| \sum_{n=1}^{N_1} e^{j(\phi_n^h - \vartheta_n^h - \theta_n^h)} \bar{h}_{1,n} \bar{h}_{0,n} \right|^2 + N_0}. \quad (2)$$

As indicated in [25], the reflected signals must be co-phased by  $\phi_n^h = \vartheta_n^h + \theta_n^h$ . Therefore, the maximum

SINR at  $D_1$  to detect  $x_2$  can be expressed as a

$$\begin{aligned} \bar{\gamma}_{D_1, x_2} &= \frac{a_2 P_{BS} d_{h_0}^{-\alpha} d_{h_1}^{-\alpha} |A|^2}{a_1 P_{BS} d_{h_0}^{-\alpha} d_{h_1}^{-\alpha} |A|^2 + N_0} \\ &= \frac{a_2 \rho d_{h_0}^{-\alpha} d_{h_1}^{-\alpha} |A|^2}{a_1 \rho d_{h_0}^{-\alpha} d_{h_1}^{-\alpha} |A|^2 + 1}, \end{aligned} \quad (3)$$

where  $\rho = \frac{P_{BS}}{N_0}$  is the transmit signal-to-noise ratio (SNR) of  $BS$ ,  $A \triangleq \sum_{n=1}^{N_1} |\bar{h}_{0,n}| |\bar{h}_{1,n}|$  with  $\bar{h}_{0,n} \sim \mathcal{CN}(0, \lambda_{h_0})$  and  $\bar{h}_{1,n} \sim \mathcal{CN}(0, \lambda_{h_1})$ .

After implementing SIC,  $x_1$  signal is detected with the SNR is given by

$$\bar{\gamma}_{D_1, x_1}^{\text{ipSIC}} = \frac{a_1 \rho d_{h_0}^{-\alpha} d_{h_1}^{-\alpha} |A|^2}{\varpi \rho |h_I|^2 + 1}, \quad (4a)$$

$$\bar{\gamma}_{D_1, x_1}^{\text{pSIC}} = a_1 \rho d_{h_0}^{-\alpha} d_{h_1}^{-\alpha} |A|^2, \quad (4b)$$

where  $\varpi \in [0, 1]$ . Specifically,  $\varpi = 0$  and  $\varpi = 1$  represent perfect successive interference cancellation (pSIC) and imperfect successive interference cancellation (ipSIC), respectively. It is assumed that the residual interference from ipSIC follows Rayleigh fading, with the complex channel coefficient denoted as  $h_I \sim \mathcal{CN}(0, \lambda_I)$ , as described in [26].

In the second time slot, the  $D_1$  sent a message  $\sqrt{P_{D_1}} x_2$  to  $D_2$  by virtue of a RIS-2. Hence, the signals received at  $D_2$  is

$$\bar{y}_{D_2} = \left( \sum_{n=1}^{N_2} g_{0,n} g_{2,n} \varepsilon_n^g \right) \sqrt{P_{D_1}} x_2 + \bar{\omega}_{D_2}, \quad (5)$$

where  $P_{D_1}$  is the power at  $D_1$ ,  $\bar{\omega}_{D_2}$  denote the AWGN at  $D_2$  with equal variance  $N_0$ ,  $g_{0,n} = \bar{g}_{0,n} e^{j\theta_n^g} / \sqrt{d_{g_0}^\alpha}$  and  $g_{2,n} = \bar{g}_{2,n} e^{j\theta_n^g} / \sqrt{d_{g_2}^\alpha}$   $d_{g_0}$  and  $d_{g_2}$  denote the distances from the  $D_1$  to RIS-2 and RIS-2 to  $D_2$ , respectively, and  $\varepsilon_n^g = \varpi_n^g (\phi_n^g) e^{j\phi_n^g}$  is defined similarly to (1).

Similarly, we assume the phase shifts of the RIS-2 elements are selected to maximize the received signal energy. It can be shown SNR detects signal  $x_2$  at NOMA user  $D_2$  as

$$\bar{\gamma}_{D_2} = \rho d_{g_0}^{-\alpha} d_{g_2}^{-\alpha} |B|^2, \quad (6)$$

where  $B \triangleq \sum_{n=1}^{N_2} |\bar{g}_{0,n}| |\bar{g}_{2,n}|$  with  $\bar{g}_{0,n} \sim \mathcal{CN}(0, \lambda_{g_0})$  and  $\bar{g}_{2,n} \sim \mathcal{CN}(0, \lambda_{g_2})$ . By assuming  $P_{D_1} = P_{BS}$  then  $\rho = \frac{P_{D_1}}{N_0}$ .

## 2.2. Channel Model

Before computing outage probability, the probability distribution function (PDF) of channel  $|h_I|^2$  is given by [27]

$$f_{|h_I|^2}(x) = \frac{1}{\lambda_I} e^{-\frac{x}{\lambda_I}}. \quad (7)$$

In terms of the corresponding cumulative distribution function (CDF) of channel  $|h_I|^2$ ,  $F_{|h_I|^2}(x)$  is given as

$$F_{|h_I|^2}(x) = 1 - e^{-\frac{x}{\lambda_I}}. \quad (8)$$

Based on [30], the PDF and CDF of the cascade channel gain of  $|A|^2$  and  $|B|^2$  can be given as, respectively.

$$f_{|A|^2}(x) = \frac{2x^{\frac{N-1}{2}}}{\Gamma(N) (\sqrt{\lambda_{h_0} \lambda_{h_1}})^{N+1}} K_{N-1} \left( 2\sqrt{\frac{x}{\lambda_{h_0} \lambda_{h_1}}} \right), \quad (9a)$$

$$f_{|B|^2}(x) = \frac{2x^{\frac{N-1}{2}}}{\Gamma(N) (\sqrt{\lambda_{g_0} \lambda_{g_2}})^{N+1}} K_{N-1} \left( 2\sqrt{\frac{x}{\lambda_{g_0} \lambda_{g_2}}} \right), \quad (9b)$$

and

$$F_{|A|^2}(x) = 1 - \frac{2x^{\frac{N}{2}}}{\Gamma(N) (\sqrt{\lambda_{h_0} \lambda_{h_1}})^N} K_N \left( 2\sqrt{\frac{x}{\lambda_{h_0} \lambda_{h_1}}} \right), \quad (10a)$$

$$F_{|B|^2}(x) = 1 - \frac{2x^{\frac{N}{2}}}{\Gamma(N) (\sqrt{\lambda_{g_0} \lambda_{g_2}})^N} K_N \left( 2\sqrt{\frac{x}{\lambda_{g_0} \lambda_{g_2}}} \right), \quad (10b)$$

where  $K_v(\cdot)$  is so-called the Bessel function and  $\Gamma(\cdot)$  is the Gamma function.

## 3. Outage Probability

### 3.1. Outage Probability of $D_2$

The outage probability of  $D_2$  in the downlink phase can be expressed by

$$\begin{aligned} P_{D_2} &= \Pr \left( \min \left( \frac{\bar{\gamma}_{D_1, x_2}}{\gamma_{th2}}, \frac{\bar{\gamma}_{D_2}}{\gamma_{th2}} \right) < 1 \right) \\ &= 1 - \Pr (\bar{\gamma}_{D_1, x_2} > \gamma_{th2}, \bar{\gamma}_{D_2} > \gamma_{th2}), \end{aligned} \quad (11)$$

where  $\gamma_{thi} = 2^{2R_i} - 1$ ,  $i \in \{1, 2\}$  with  $R_i$  being the target rate at  $D_i$ .

Entering (3) and (6) into (11),  $P_{D_2}$  is computed by

$$\begin{aligned} P_{D_2} &= 1 - \Pr \left( |A|^2 > \varphi_2 \right) \Pr \left( |B|^2 > \varphi_1 \right) \\ &= 1 - \left[ 1 - F_{|A|^2}(\varphi_2) \right] \left[ 1 - F_{|B|^2}(\varphi_1) \right] \\ &= F_{|B|^2}(\varphi_1) + F_{|A|^2}(\varphi_2) - F_{|A|^2}(\varphi_2) F_{|B|^2}(\varphi_1), \end{aligned} \quad (12)$$

where  $\varphi_1 = \frac{\gamma_{th2}}{\rho d_{g_0}^{-\alpha} d_{g_2}^{-\alpha}}$  and  $\varphi_2 = \frac{\gamma_{th2}}{\rho d_{h_0}^{-\alpha} d_{h_1}^{-\alpha} (a_2 - \gamma_{th2} a_1)}$ .

Plugging (10a) and (10b) into (12), we have the outage probability of  $D_2$  is calculated as

$$P_{D_2} = 1 - \frac{4(\varphi_1\varphi_2)^{\frac{N}{2}}}{\Gamma(N)^2(\sqrt{\lambda_{h_0}\lambda_{h_1}\lambda_{g_0}\lambda_{g_2}})^N} \times K_N\left(2\sqrt{\frac{\varphi_2}{\lambda_{h_0}\lambda_{h_1}}}\right) K_N\left(2\sqrt{\frac{\varphi_1}{\lambda_{g_0}\lambda_{g_2}}}\right). \quad (13)$$

Note (13) is derived on the condition of  $a_2 > \gamma_{th2}a_1$ .

### 3.2. Outage Probability of $D_1$

In the case of pSIC when  $\varpi = 0$ , the outage probability of  $D_1$  with pSIC can be calculated as

$$\begin{aligned} P_{D_1}^{pSIC} &= \Pr\left(\min\left(\frac{\tilde{\gamma}_{D_1,x_2}}{\gamma_{th2}}, \frac{\tilde{\gamma}_{D_1,x_1}^{pSIC}}{\gamma_{th1}}\right) < 1\right) \\ &= 1 - \Pr\left(\tilde{\gamma}_{D_1,x_2} > \gamma_{th2}, \tilde{\gamma}_{D_1,x_1}^{pSIC} > \gamma_{th1}\right) \\ &= 1 - \Pr\left(|A|^2 > \varphi_2, |A|^2 > \varphi_3\right) \\ &= 1 - \Pr\left(|A|^2 > \varphi_{\max}\right) = F_{|A|^2}(\varphi_{\max}), \end{aligned} \quad (14)$$

where  $\varphi_3 = \frac{\gamma_{th1}}{a_1\rho d_{h_0}^{-\alpha}d_{h_1}^{-\alpha}}$  and  $\varphi_{\max} = \max(\varphi_2, \varphi_3)$ .

Substituting (10a) into (14),  $P_{D_1}^{pSIC}$  is written as

$$P_{D_1}^{pSIC} = \frac{2\varphi_{\max}^{\frac{N}{2}}}{\Gamma(N)(\sqrt{\lambda_{h_0}\lambda_{h_1}})^N} K_N\left(2\sqrt{\frac{\varphi_{\max}}{\lambda_{h_0}\lambda_{h_1}}}\right). \quad (15)$$

Scenario of ipSIC when  $\varpi = 1$ , the outage probability of  $D_1$  with ipSIC can be calculated as

$$\begin{aligned} P_{D_1}^{ipSIC} &= \Pr\left(\min\left(\frac{\tilde{\gamma}_{D_1,x_2}}{\gamma_{th2}}, \frac{\tilde{\gamma}_{D_1,x_1}^{ipSIC}}{\gamma_{th1}}\right) < 1\right) \\ &= 1 - \Pr\left(\tilde{\gamma}_{D_1,x_2} > \gamma_{th2}, \tilde{\gamma}_{D_1,x_1}^{ipSIC} > \gamma_{th1}\right). \end{aligned} \quad (16)$$

**Theorem 1.** *The closed-form expression for the outage probability of  $D_1$  is given by (15) next top page, in which  $G_{p,q}^{m,n}[\cdot]$  is the Meijer G-function and  $\mathcal{H}_{p,q;u,v:e,f}^{n,m:s,t:i,j}(\cdot)$  is the extended generalized bivariate Fox's H-function (EGBFHF) \* as defined in [33, Eq. (2.57)]*

\*Both a MATLAB and MATHEMATICA implementation of the EGBFHF are provided in [32] and [34].

*Proof:* From (16),  $P_{D_1}^{ipSIC}$  is calculated as

$$\begin{aligned} P_{D_1}^{ipSIC} &= 1 - \Pr\left(|A|^2 > \varphi_2, |A|^2 > \varphi_3\left(\rho|h_I|^2 + 1\right)\right) \\ &= 1 - \Pr\left(|A|^2 > \varphi_2, |h_I|^2 < \frac{|A|^2}{\rho\varphi_3} - \frac{1}{\rho}, |A|^2 > \varphi_3\right) \\ &= 1 - \Pr\left(|A|^2 > \varphi_{\max}, |h_I|^2 < \frac{|A|^2}{\rho\varphi_3} - \frac{1}{\rho}\right), \end{aligned} \quad (18)$$

Based on (9a) and (7), (17) can be calculated as

$$\begin{aligned} P_{D_1}^{ipSIC} &= 1 - \int_{\varphi_{\max}}^{\infty} f_{|A|^2}(x) \int_0^{\frac{x}{\rho\varphi_3} - \frac{1}{\rho}} f_{|h_I|^2}(y) dx dy \\ &= 1 - \frac{2}{\Gamma(N)(\sqrt{\lambda_{h_0}\lambda_{h_1}})^{N+1}} [I_1 - I_2], \end{aligned} \quad (19)$$

where  $I_1 = \int_{\varphi_{\max}}^{\infty} x^{\frac{N-1}{2}} K_{N-1}\left(2\sqrt{\frac{x}{\lambda_{h_0}\lambda_{h_1}}}\right) dx$  and  $I_2 = \int_{\varphi_{\max}}^{\infty} x^{\frac{N-1}{2}} K_{N-1}\left(2\sqrt{\frac{x}{\lambda_{h_0}\lambda_{h_1}}}\right) e^{-\frac{x}{\lambda_I\rho\varphi_3} + \frac{1}{\lambda_I\rho}} dx$ .

To solve the integrals  $I_1$ , we utilize the following transformations involving the Meijer G-function [39, Chap.8.4]

$$H(1 - |x|) = G_{1,1}^{1,0}\left(x \left| \begin{matrix} 1 \\ 0 \end{matrix} \right.\right), \quad (20)$$

$$H(|x| - 1) = G_{1,1}^{0,1}\left(x \left| \begin{matrix} 1 \\ 0 \end{matrix} \right.\right), \quad (21)$$

$$e^{-ax} = G_{0,1}^{1,0}\left(ax \left| \begin{matrix} - \\ 0 \end{matrix} \right.\right), \quad (22)$$

$$K_v(x) = \frac{1}{2} G_{0,2}^{2,0}\left(\frac{x^2}{4} \left| \begin{matrix} -, - \\ \frac{v}{2}, \frac{-v}{2} \end{matrix} \right.\right), \quad (23)$$

where  $H(x)$  is unit step function as

$$H(x) = \begin{cases} 1, & x > 0 \\ 0, & x < 0 \end{cases}$$

We have  $I_1$  is rewritten as follows

$$\begin{aligned} I_1 &= \int_{\varphi_{\max}}^{\infty} x^{\frac{N-1}{2}} K_{N-1}\left(2\sqrt{\frac{x}{\lambda_{h_0}\lambda_{h_1}}}\right) dx \\ &= \int_0^{\infty} H\left(\frac{x}{\varphi_{\max}} - 1\right) x^{\frac{N-1}{2}} K_{N-1}\left(2\sqrt{\frac{x}{\lambda_{h_0}\lambda_{h_1}}}\right) dx \\ &= \frac{1}{2} \int_0^{\infty} G_{1,1}^{0,1}\left(\frac{x}{\varphi_{\max}} \left| \begin{matrix} 1 \\ 0 \end{matrix} \right.\right) x^{\frac{N-1}{2}} \\ &\quad \times G_{0,2}^{2,0}\left(\frac{x}{\lambda_{h_0}\lambda_{h_1}} \left| \begin{matrix} - \\ \frac{N-1}{2}, \frac{1-N}{2} \end{matrix} \right.\right) dx. \end{aligned} \quad (24)$$

$$P_{D_1}^{ipSIC} = 1 - \frac{2}{\Gamma(N) (\sqrt{\lambda_{h_0} \lambda_{h_1}})^{N+1}} \left[ \frac{\varphi_{\max} (\lambda_{h_0} \lambda_{h_1})^{\frac{N-1}{2}}}{2} G_{1,3}^{3,0} \left( \frac{\varphi_{\max}}{\lambda_{h_0} \lambda_{h_1}} \mid \begin{matrix} 0 \\ -1, N-1, 0 \end{matrix} \right) \right. \\ \left. - \frac{(\lambda_{h_0} \lambda_{h_1})^{\frac{N+1}{2}} e^{\frac{1}{\lambda_I \rho}}}{2} \mathcal{H}_{2,0:0,1:1,1}^{0,2:1,0:0,1} \left( \begin{matrix} (1-N; 1, 1), (0; 1, 1) \\ - \end{matrix} \mid \begin{matrix} - \\ (0, 1) \end{matrix} \mid \begin{matrix} (1, 1) \\ (0, 1) \end{matrix} \mid \frac{\lambda_{h_0} \lambda_{h_1}}{\lambda_I \rho \varphi_3}, \frac{\lambda_{h_0} \lambda_{h_1}}{\varphi_{\max}} \right) \right]. \quad (17)$$

Let  $t = \frac{x}{\lambda_{h_0} \lambda_{h_1}} \Rightarrow \lambda_{h_0} \lambda_{h_1} t = x \Rightarrow \lambda_{h_0} \lambda_{h_1} dt = dx$ ; then,  $I_1$  can be rewritten as

$$I_1 = \frac{(\lambda_{h_0} \lambda_{h_1})^{\frac{N+1}{2}}}{2} \int_0^\infty G_{1,1}^{0,1} \left( \frac{\lambda_{h_0} \lambda_{h_1} t}{\varphi_{\max}} \mid \begin{matrix} 1 \\ 0 \end{matrix} \right) t^{\frac{N-1}{2}} \\ \times G_{0,2}^{2,0} \left( t \mid \begin{matrix} - \\ \frac{N-1}{2}, \frac{1-N}{2} \end{matrix} \right) dt. \quad (25)$$

Based on [40, Eq. (9.31.5), Eq. (7.811.1)] and applying some polynomial expansion manipulations

$$I_1 = \frac{(\lambda_{h_0} \lambda_{h_1})^{\frac{N+1}{2}}}{2} \int_0^\infty G_{1,1}^{0,1} \left( \frac{\lambda_{h_0} \lambda_{h_1} t}{\varphi_{\max}} \mid \begin{matrix} 1 \\ 0 \end{matrix} \right) \\ \times G_{0,2}^{2,0} \left( t \mid \begin{matrix} - \\ N-1, 0 \end{matrix} \right) dt \\ = \frac{\varphi_{\max} (\lambda_{h_0} \lambda_{h_1})^{\frac{N-1}{2}}}{2} G_{1,3}^{3,0} \left( \frac{\varphi_{\max}}{\lambda_{h_0} \lambda_{h_1}} \mid \begin{matrix} 0 \\ -1, N-1, 0 \end{matrix} \right). \quad (26)$$

Finally, with the help of (23), (22), (21),  $I_2$  is calculated as

$$I_2 = \int_0^{\varphi_{\max}} x^{\frac{N-1}{2}} K_{N-1} \left( 2\sqrt{\frac{x}{\lambda_{h_0} \lambda_{h_1}}} \right) e^{-\frac{x}{\lambda_I \rho \varphi_3} + \frac{1}{\lambda_I \rho}} dx \\ = e^{\frac{1}{\lambda_I \rho}} \int_0^\infty x^{\frac{N-1}{2}} e^{-\frac{x}{\lambda_I \rho \varphi_3}} H \left( \frac{x}{\varphi_{\max}} - 1 \right) \\ \times K_{N-1} \left( 2\sqrt{\frac{x}{\lambda_{h_0} \lambda_{h_1}}} \right) dx \\ = \frac{e^{\frac{1}{\lambda_I \rho}}}{2} \int_0^\infty x^{\frac{N-1}{2}} G_{0,1}^{1,0} \left( \frac{x}{\lambda_I \rho \varphi_3} \mid \begin{matrix} - \\ 0 \end{matrix} \right) G_{1,1}^{0,1} \left( \frac{x}{\varphi_{\max}} \mid \begin{matrix} 1 \\ 0 \end{matrix} \right) \\ \times G_{0,2}^{2,0} \left( \frac{x}{\lambda_{h_0} \lambda_{h_1}} \mid \begin{matrix} - \\ \frac{N-1}{2}, \frac{1-N}{2} \end{matrix} \right) dx. \quad (27)$$

After referring to the identities [41, Eq. (2.3)],  $I_2$  can be formulated as (28)

Substituting (28) and (26) into (18), we can obtain (17). The proof is completed.

### 3.3. Optimal Outage Performance Analysis

Based on the calculated outage probability expressions, it is difficult to establish closed-form equations for the best values of power allocation factors  $a_1, a_2$ . Fortunately, we may use low-complexity algorithms based on the golden section search approach to solve this problem. For example, in Algorithm 1, we demonstrate how to get the exact value of  $a_2$  that minimizes the first user's OP. Algorithm 1's accuracy is mostly dependent on the step search  $\Delta$ .

**Algorithm 1** Optimization Algorithm to find  $a_2^*$  based on Golden section search

```
LeftleftThisthisUpup UnionUnionFindCompressFind-
Compress InputInputOutputOutput Initialize  $\psi_{\min} = 0, \psi_{\max} = 1$ , the golden section search  $\varpi = \frac{\sqrt{5}-1}{2}$  and a stopping threshold  $\Delta = 10^{-3}$  The optimal of  $a_2^*$  that minimum the outage performance  $P_1^*(a_2^*)$ ,  $\star \in \{ipSIC, pSIC\}$ 
Create sets  $\beta_1 = \psi_{\max} - (\psi_{\max} - \psi_{\min}) \varpi$  and  $\beta_2 = \psi_{\min} + (\psi_{\max} - \psi_{\min}) \varpi$ 
 $|\psi_{\max} - \psi_{\min}| \leq \Delta$  Update:  $P_{\text{temp}1}^* = P_1^*(\beta_1)$ 
Update:  $P_{\text{temp}2}^* = P_1^*(\beta_2)$ 
 $P_1^*(\bullet)$  is given by (17) and (15)  $P_{\text{temp}1}^* < P_{\text{temp}2}^*$  Update:  $\psi_{\max} \leftarrow \beta_2$  Update:  $\psi_{\min} \leftarrow \beta_1$  Update:  $\beta_1 \leftarrow \psi_{\max} - (\psi_{\max} - \psi_{\min}) \varpi$ 
Update:  $\beta_2 \leftarrow \psi_{\min} + (\psi_{\max} - \psi_{\min}) \varpi$ 
The optimal of  $a_2^* = (\psi_{\max} + \psi_{\min})/2$ 
```

Although optimal OP may be found in some circumstances, the analytical findings of OP performance remain complex, making acquiring any insights challenging. This prompts us to investigate the approximate calculation of the primary system performance measures in the next section.

## 4. The Approximation of Outage Probability

**Theorem 2.** *The asymptotic outage probability of  $D_2$  at high SNRs for the situations  $N = 1$  and  $N \geq 2$  is*

$$\begin{aligned}
 I_2 &= \frac{e^{\frac{1}{\lambda_I \rho}}}{2} \int_0^\infty x^{\frac{N+1}{2}-1} G_{0,2}^{2,0} \left( \frac{x}{\lambda_{h_0} \lambda_{h_1}} \mid \frac{-}{\frac{N-1}{2}, \frac{1-N}{2}} \right) G_{0,1}^{1,0} \left( \frac{x}{\lambda_I \rho \varphi_3} \mid \frac{-}{0} \right) G_{1,1}^{0,1} \left( \frac{x}{\varphi_{\max}} \mid \frac{1}{0} \right) dx \\
 &= \frac{(\lambda_{h_0} \lambda_{h_1})^{\frac{N+1}{2}} e^{\frac{1}{\lambda_I \rho}}}{2} \mathcal{H}_{2,0:0,1:1,1}^{0,2:1,0:0,1} \left( (1-N; 1, 1), (0; 1, 1) \mid \frac{-}{(0, 1)} \mid \frac{(1, 1)}{(0, 1)} \mid \frac{\lambda_{h_0} \lambda_{h_1}}{\lambda_I \rho \varphi_3}, \frac{\lambda_{h_0} \lambda_{h_1}}{\varphi_{\max}} \right).
 \end{aligned} \tag{28}$$

given by the following equations:

$$P_{D_2}^\infty = \begin{cases} 1 - \prod_{l=1}^2 \left[ \frac{2\varphi_l}{\xi_l} \ln \left( \sqrt{\frac{\varphi_l}{\xi_l}} \right) + 1 \right] & , N = 1 \\ \sum_{l=1}^2 \frac{\varphi_l}{\xi_l (N-1)} - \prod_{l=1}^2 \frac{\varphi_l}{\xi_l (N-1)} & , N \geq 2 \end{cases} \tag{29}$$

where  $\xi_1 = \lambda_{h_0} \lambda_{h_1}$  and  $\xi_2 = \lambda_{g_0} \lambda_{g_2}$ .

*Proof:* To make the computation easier, we use the series form of the Bessel function  $K_n(x)$  to approximate the high SNR. We have  $K_n(x)$  can be approximated when  $n = 1$  and  $n \geq 2$  as

$$K_1(x) \approx \frac{x}{2} \ln \left( \frac{x}{2} \right) + \frac{1}{x}, \tag{30}$$

$$K_n(x) \approx \left[ \frac{2^n (n-1)!}{x^n} - \frac{2^{n-2} (n-2)!}{x^{n-2}} \right] \frac{1}{2}. \tag{31}$$

Equation (29) can be obtained by putting (31) and (30) into (13), respectively. The proof is finished.

Similarly, by solving  $P_{D_2}^\infty$ , the asymptotic expression for  $D_1$  with pSIC is calculated by

$$P_{D_1}^{pSIC, \infty} = \begin{cases} -\frac{2\varphi_{\max}}{\lambda_{h_0} \lambda_{h_1}} \ln \left( \sqrt{\frac{\varphi_{\max}}{\lambda_{h_0} \lambda_{h_1}}} \right) & , N = 1 \\ \frac{\varphi_{\max}}{\lambda_{h_0} \lambda_{h_1} (N-1)} & , N \geq 2 \end{cases} \tag{32}$$

Finally, when  $\rho \rightarrow \infty$  then results can be obtained easily by using two approximate equations, namely,  $\tilde{\gamma}_{D_1, x_2}^\infty \approx \frac{a_2}{a_1}$  and  $\tilde{\gamma}_{D_1, x_1}^{ipSIC, \infty} \approx \frac{a_1 d_{h_0}^{-\alpha} d_{h_1}^{-\alpha} A^2}{|h_I|^2}$ . Thereby at high SNRs, we can write the approximate expression for the  $D_1$  with ipSIC as follows:

$$P_{D_1}^{ipSIC, \infty} = \begin{cases} 1 - \Pr \left( \tilde{\gamma}_{D_1, x_1}^{ipSIC, \infty} > \gamma_{th1} \right) & , a_2 > a_1 \varphi_2 \\ 1 & \text{otherwise.} \end{cases} \tag{33}$$

Substituting (10a) and (7) into (33),  $P_{D_1}^{ipSIC, \infty}$  is written as

$$\begin{aligned}
 P_{D_1}^{ipSIC, \infty} &= 1 - \Pr \left( A^2 > \chi |h_I|^2 \right) \\
 &= 1 - \int_0^\infty f_{|h_I|^2}(x) \left[ 1 - F_{|A|^2}(\chi x) \right] dx \\
 &= 1 - \frac{2\chi^{\frac{N}{2}}}{\lambda_I \Gamma(N) (\sqrt{\lambda_{h_0} \lambda_{h_1}})^N} \\
 &\quad \times \int_0^\infty x^{\frac{N}{2}} e^{-\frac{x}{\lambda_I}} K_N \left( 2\sqrt{\frac{\chi x}{\lambda_{h_0} \lambda_{h_1}}} \right) dx,
 \end{aligned} \tag{34}$$

where  $\chi = \frac{\gamma_{th1}}{a_1 d_{h_0}^{-\alpha} d_{h_1}^{-\alpha}}$ . Now, we set  $t^2 = x \rightarrow 2t dt = dx$  and using [?, Eq. (6.631.3)],  $P_{D_1}^{ipSIC, \infty}$  can be calculated by

$$\begin{aligned}
 P_{D_1}^{ipSIC, \infty} &= 1 - N \left( \sqrt{\frac{\lambda_I \chi}{\lambda_{h_0} \lambda_{h_1}}} \right)^{N-1} e^{\left( \frac{\lambda_I \chi}{2\lambda_{h_0} \lambda_{h_1}} \right)} \\
 &\quad \times W_{-\frac{N-1}{2}, \frac{N}{2}} \left( \frac{\lambda_I \chi}{\lambda_{h_0} \lambda_{h_1}} \right),
 \end{aligned} \tag{35}$$

where  $W_{\lambda, \mu}(x)$  is so-called Whittaker function.

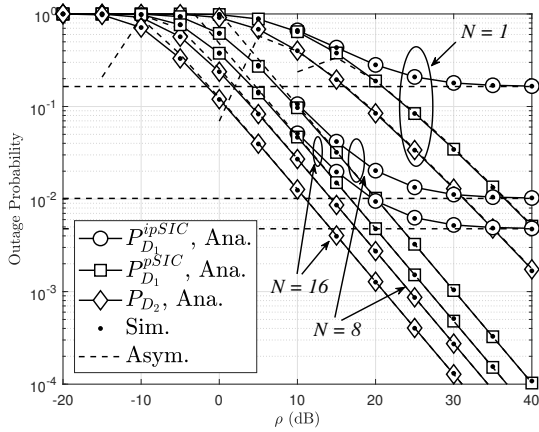
## 5. Results and Discussion

In this section, we utilize Monte Carlo simulations [42–45] (designated as 'Sim.') to corroborate the precise analyses (designated as 'Ana.') and asymptotic results (designated as 'Asym.'). The target rate has unit of bit per channel user and is denoted in short as BPCU. These simulations are performed in accordance with the parameters delineated in Table 1. It is noteworthy that our computational framework integrates technological advancements by employing symbolic computations within computer by running code, thereby facilitating the attainment of exact outcomes.

Fig. 2 show the OP of  $D_1$  and  $D_2$ , respectively, versus the transmit SNR,  $\rho$  (dB), with different RIS elements. The simulated outcomes align with the respective analytical findings for the RIS-supported NOMA network. For  $N = 2$ , the outage probability remains relatively elevated throughout the SNR spectrum. For  $N = 8$  and  $N = 16$ , the outage probability exhibits

**Tab. 1:** Definition of system parameters [31, 35, 36]

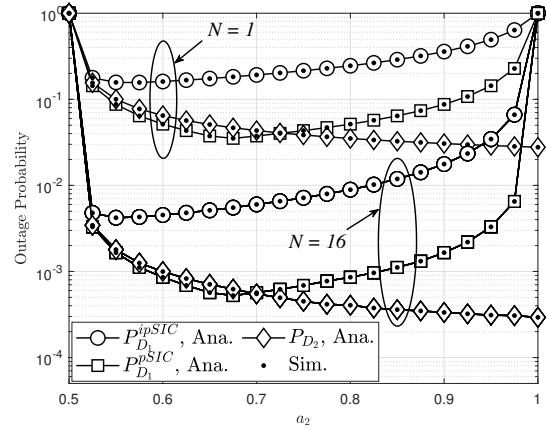
Notation	Values	Notation	Values
$a_1$	0.1	$d_{g_2}$	60 m
$a_2$	0.9	$\alpha$	2
$R_1$	1 BPCU	$\lambda_{h_0}$	0.4
$R_2$	1 BPCU	$\lambda_{h_1}$	0.6
$d_{h_0}$	40 m	$\lambda_{g_0}$	0.4
$d_{h_1}$	60 m	$\lambda_{g_2}$	0.6
$d_{g_0}$	40 m	$\lambda_{h_I}$	0.01



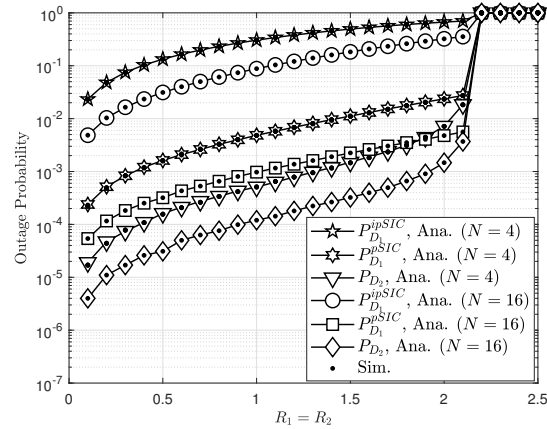
**Fig. 2:** Outage probability versus  $\rho$  at  $D_1$  and  $D_2$ .

a significant reduction as the quantity of reflecting elements increases. An augmented number of reflecting elements within the RIS enhances the capability to manipulate the wireless environment, resulting in improved signal strength and diminished outage occurrences. This underscores the advantages of incorporating a greater number of reflecting elements to improve wireless communication efficacy. The pSIC method demonstrates a lower outage probability than the ipSIC, indicating superior performance under less-than-ideal conditions. The analytical outcomes correspond closely with simulation findings, particularly at elevated SNR levels, thereby affirming the precision of the theoretical frameworks. In conclusion, the quantity of RIS reflecting elements is pivotal in minimizing outage probability, with increased values of  $N$  resulting in enhanced performance across the spectrum.

Fig 3 illustrates the relationship between the outage probability and the power allocation factor  $a_2$  for different numbers of reflecting elements  $N$  in RIS. The graph compares analytical results for different SIC methods and also includes simulation data, with a focus on identifying the optimal power allocation factor. The optimal power allocation factor  $a_2$  (around 0.7) minimizes outage probability for both users and RIS configurations, regardless of the number of reflecting elements. Increasing the number of reflecting elements  $N$  from



**Fig. 3:** Outage probabilities versus  $a_2$ , with  $R_1 = R_2 = 0.5$ ,  $\lambda_I = 0.1$  and  $\rho = 20$  [dB].



**Fig. 4:** Outage performance versus fixed data rates  $R_1$  and  $R_2$ , with  $a_1 = 0.05$ ,  $a_2 = 0.95$ ,  $\lambda_I = 0.1$  and  $\rho = 30$  [dB].

4 to 16 significantly reduces the outage probability, demonstrating the importance of RIS in improving system performance. The optimal power allocation strikes a balance between the two users, highlighting the importance of resource management in multi-user RIS-assisted systems.

Fig. 4 illustrates the correlation between the outage probability and the target rates  $R_1 = R_2$ , for two users operating within a system that employs a RIS. This analysis juxtaposes various quantities of reflecting elements  $N$  present in the RIS and assesses the system's performance under both perfect and imperfect SIC conditions. An increase in the target rate  $R_1 = R_2$  corresponds with a rise in the outage probability, particularly for elevated rates (exceeding 1.5 BPCU), where the system encounters difficulties in sustaining performance. Systems characterized by a greater number of reflecting elements ( $N = 16$ ) demonstrate significantly superior performance relative to those with a lesser count of elements ( $N = 4$ ), indicating that the RIS can substantially bolster system reliability through



effective modulation of the wireless environment. pSIC results in lower outage probabilities than ipSIC, especially at high target rates, emphasizing the importance of efficient interference cancellation. In conclusion, the figure elucidates the influence of both the target rate and the quantity of reflecting elements on the outage probability within a RIS-assisted framework. An increased number of reflecting elements alongside perfect SIC culminates in enhanced performance, particularly at higher target rates.

## 6. Conclusion

In this study, we have proposed a model D2D for RIS-NOMA aided in the environment with blocking objects. First, we derived closed-form expressions for the outage probabilities at  $D_1$ ,  $D_2$ , and the increased number of RIS. In order to enhance the efficacy of the system, a low-complexity 2D golden section search is executed, taking into account the power allocation parameters for NOMA with the aim of reducing the outage probabilities for devices experiencing imperfect SIC as well as those benefiting from perfect SIC. Simulation results show that the effectiveness of perfect SIC is better than imperfect SIC with an increase in RISs. The use of multiple devices and RIS to improve the performance of the NOMA system with dynamically moving users such as cars and nonlinear energy harvesting is left for future work.

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## Author Contributions

Viet-Dung Le, Dinh Cong Hien, and Sang Quang Nguyen developed the system model. Hong-Nhu Nguyen and Trong-Tu Bui performed the analytical calculations and numerical simulations, while B-S KIM wrote the whole paper. All authors contributed to the final version of the manuscript.

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